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Section One - General Information

The Saskatchewan Liquor and Gaming Authority (SLGA), a corporate body created by law, issues permits for the possession, sale, importation and delivery of recreational cannabis. SLGA regulates the operation of cannabis wholesale businesses for which a permit has been issued. Each permit holder is required to operate their cannabis wholesale business in accordance with municipal, provincial and federal laws, including purchasing cannabis only from federally licensed producers (LPs) that have been registered by SLGA.

Fee schedule

A **non-refundable application fee** of \$2,000 applies. In addition to the application fee, the permit is subject to an **annual permit fee** of \$3,000. SLGA may also charge applicants on a cost recovery basis for expenses incurred as a result of investigations into individual or corporate backgrounds.

The permit may be issued for up to three years. Applicants can pay the fee in annual installments due at the time the permit is issued and on each anniversary date thereafter, or can pay the full three year fee at the time the permit is issued.

Processing time

SLGA recommends that applicants allow at least 90 days for processing. Several factors may contribute to the time needed to issue a permit, including:

- Any incomplete, missing, or incorrect information on the application
- Construction or renovation of physical premises, followed by SLGA inspection
- Configuration of inventory tracking and reporting systems, followed by SLGA confirmation, and
- The effective date of any applicable federal and provincial cannabis laws

Because a significant number of applications are anticipated, SLGA will prioritize wholesale permit and LP registration applications as follows:

1. Businesses that can demonstrate both access to supply and purchase commitments from Saskatchewan wholesalers or retailers that are either permitted or are the successful proponent in a retailer selection process
2. Businesses that can demonstrate access to supply but no purchase commitments
3. Businesses that can demonstrate purchase commitments but no access to supply
4. Businesses that can demonstrate neither access to supply nor purchase commitments

If you think you meet any of the criteria for levels 1 through 3 above, please provide copies of conditional agreements, letters of intent, or other similar documents to demonstrate that you have access to supply and/or purchase commitments.

Applying for a cannabis wholesale permit does not guarantee approval. Any construction, renovations, leases, purchases, etc. completed prior to confirmation of permit eligibility are at your own risk.

For further information or help completing this application, please email cannabisbranch@slga.gov.sk.ca. You can also call SLGA toll-free at 1(800) 667-7565 or in Regina at (306) 787-5563.

Section Two – Before You Proceed

What do you need to start the application process?

To start the process, SLGA needs Sections Three to Seven inclusive from this application package in order to assess the character and financial status of any individuals and corporations that have an ownership or financial interest in the applicant business.

Information required of all applicants

- Applicant, Contact, and Business Information (Section Three)
 - Financial and Business Agreements (Section Six)
 - Certification of Information (Section Seven)
-

Information required of individuals

(Section Four, copy as needed)

- Personal Disclosure form, consisting of:
 - Part 1 - Personal information
 - Part 2 - Employment history
 - Part 3 - Personal and criminal history
 - Part 4 - Personal financial information
 - Part 5 - Consent to obtain and release information and declaration of honesty (personal)
 - Part 6 - Criminal record check

Who needs to provide this information?

- Any individual applicants or partners
 - If an applicant or partner is an Indian Band, the chief and council members
 - If an applicant or partner is a corporation, all officers, directors and shareholders of that corporation who hold at least 10% of voting or non-voting shares
 - If a shareholder is a corporation, this information is required for all officers, directors, and shareholders of that corporation who hold at least 10% of voting or non-voting shares
 - If a shareholder is an Indian Band, this information is required for the chief and council members
 - If a shareholder is a trust, this information is required for the trustee and all non-minor beneficiaries who hold at least 10% of legal or beneficial ownership, along with a copy of the trust agreement or a lawyer's undertaking describing the trust structure
-

Information required of corporations

(Section Five, copy as needed)

- Corporate Disclosure form, consisting of:
 - Part 1 - Structure
 - Part 2 - History
 - Part 3 - Financial information
 - Part 4 - Consent to obtain and release information and declaration of honesty (corporate)

Who needs to provide this information?

- All applicant or partner corporations
 - Corporations and trusts that hold at least 10% of voting or non-voting shares of applicant or partner corporations
-

What can be submitted later?

Depending on the ownership and accountability structures of the applicant, SLGA reserves the right to require the above information for other stakeholders, including investors, key operating personnel, and associates.

The information listed below (and detailed in Sections Eight and Nine of this application package) can be submitted at a later date but will be required before a permit can be issued, unless marked as optional. SLGA may also request additional information depending on the particular circumstances of your application.

- A floor plan drawing of the warehouse space
- A detailed description of the inventory management and sales tracking system that will be used (see Appendix A – Cannabis Tracking and Reporting available at www.slga.com)
- A detailed description of security measures that will be put in place for the protection and safeguarding of inventory (see Appendix B – Warehouse Security Requirements available at www.slga.com)
- Copies of documents verifying legal possession of the premises
- Copy of municipal business licence or written approval of the facility location from the municipality
- Building inspection approval for all facilities
- (Optional) Conditional agreements, letters of intent, etc. demonstrating access to supply from a federally licensed LP and/or purchase commitments made by Saskatchewan retailers

Section Three – Applicant, Contact, and Business Information

Applicant information

Applicant name(s): _____
(must match name(s) registered on land title(s) or lease(s) for the warehouse)

Operating as: _____
(business name)

Warehouse street address: _____

City: _____ Province: _____ Postal code: _____

All websites and social media accounts associated with this business: _____

Contact information

(all correspondence regarding the cannabis wholesale permit and related reporting will be sent to this individual)

Contact name: _____

Mailing address: _____

City: _____ Province: _____ Postal code: _____

Daytime phone: _____ Cell phone: _____

E-Mail _____ Fax: _____

How would you like to communicate with SLGA in the future?

E-mail

Fax

Mail

A non-refundable application fee of \$2,000 will be required before this application can be processed. You may include a cheque or money order payable to Saskatchewan Liquor and Gaming Authority, or an SLGA representative can process your credit card payment by telephone.

Business information

1. What type of entity are you? (please check one)

- Individual
- Corporation (for-profit, non-profit, co-operative)
- Indian Band
- Partnership or joint venture of any combination of the above
- Other (please describe) _____

2. For your building(s), do you: (please check one)

<input type="checkbox"/> Own	<input type="checkbox"/> Lease	<input type="checkbox"/> Sub-lease
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3. If purchasing the premises, when do you expect to have the certificate of title? _____

4. If you are leasing or subleasing, when does your lease commence and expire? _____

5. Is the warehouse located on reserve status land? **Yes** **No**

6. What date would you like your permit to take effect? _____

Section Four – Information Required of Individuals

Who must complete this part of the application?

In order to do business as a cannabis wholesaler in Saskatchewan, a business must apply to the Saskatchewan Liquor and Gaming Authority (SLGA) for a cannabis wholesale permit. SLGA is required by *The Cannabis Control (Saskatchewan) Act* and by corporate policy to determine whether or not an applicant for a cannabis permit is of good character. When determining good character, SLGA considers the business itself, its owners and key decision-makers, and if necessary, any other businesses and individuals that have direct or indirect control or involvement in the business applying for the permit.

The following individuals must complete and submit all forms in this section:

- Any individual applicants or partners
- If an applicant or partner is an Indian Band, the chief and council members
- If an applicant or partner is a corporation, all officers, directors and shareholders of that corporation who hold at least 10% of voting or non-voting shares
 - If a shareholder is a corporation, this information is required for all officers, directors, and shareholders of that corporation who hold at least 10% of voting or non-voting shares
 - If a shareholder is an Indian Band, this information is required for the chief and council members
 - If a shareholder is a trust, this information is required for the trustee and all non-minor beneficiaries who hold at least 10% of legal or beneficial ownership, along with a copy of the trust agreement or a lawyer's undertaking describing the trust structure

Depending on the ownership and accountability structures of the applicant, SLGA reserves the right to require the Personal Disclosure form for other stakeholders, including investors, key operating personnel, and associates.

General information

1. Provide an answer to every question. If there is not enough room to provide a complete answer, use a separate sheet of paper and reference the applicable section.
2. All personal information you provide to SLGA is confidential. SLGA is required under [*The Freedom of Information and Protection of Privacy Act*](#) to protect the confidentiality of personal information in its possession and control, and to use the information only for the purpose for which it is collected.
3. SLGA will conduct a due diligence interview or investigation in order to establish your suitability to be involved with a cannabis permitted business. Through the interview or investigation process, SLGA will gather any information it considers necessary and may request additional information from you, including character references. By signing the attached consent, you are agreeing that SLGA may collect and use this information.
4. You are required to inform SLGA within 7 days of any changes to the information you supplied that might affect your suitability. Changes that you must report include, but are not limited to, change of address, new charges, convictions, findings of guilt, civil or bankruptcy proceedings and/or if you are the subject of a cannabis investigation by a regulatory agency, other than as an applicant for permitting, licensing, or registration purposes.
5. For questions relating to the existence of a criminal history, be aware that a criminal history may include criminal investigations, interviews, detentions, arrests and charges that may or may not have resulted in a conviction. Any statements regarding a criminal history will be verified through a criminal record check by SLGA.

Answer 'yes' to the questions if:

- i) you were charged and convicted of a criminal offence
- ii) the charges were dismissed or subsequently downgraded to a lesser charge
- iii) the charges were stayed
- iv) you received a conditional discharge

- v) you completed an alternative measures or other similar program
- vi) you were charged but not convicted
- vii) you were questioned by the police in relation to your personal involvement in criminal activity, or
- viii) the investigation, charges or offence happened in another jurisdiction/province/country

Answer 'no' to the questions if:

- i) you received a pardon under *The Criminal Records Act* (Canada) or similar legislation, or if any records relating to a charge or conviction have been expunged or otherwise officially sealed by a court or government agency, or
- ii) you have never been investigated, arrested, detained, interviewed, charged or convicted of an offence

6. If you have any questions regarding the form, please contact:

Manager, Cannabis Regulation
Saskatchewan Liquor and Gaming Authority
Phone: (306) 787-5563
Fax: (306) 787-8981
Email: cannabisbranch@slga.gov.sk.ca

7. Give this completed form to the person responsible for submitting the application and/or Personal Disclosure forms to SLGA. If you would like to send this form directly to SLGA, please use the following address:

Cannabis Licensing and Inspections Branch
Saskatchewan Liquor and Gaming Authority
P.O. Box 5054, S4P 3M3 (mailing address)
2500 Victoria Ave, S4P 3X2 (courier address)
Regina, Saskatchewan CANADA

Personal Disclosure

(copy as necessary)

I am submitting this Personal Disclosure to SLGA in respect to a cannabis wholesale permit or application for:

_____ (business name)

located at _____ (Street address) _____ (Town/city)

Part 1 – Personal information

Legal first, middle and surname:						
List any other names you have used (maiden name, birth name, etc.):						
Mailing address:						
Home street address (if different from mailing address):						
City:	Province/state:	Country:	Postal/zip code:			
Home phone:		Work/business phone:	Cell phone:			
Email address:						
Gender: <input type="checkbox"/> Female <input type="checkbox"/> Male	Date of birth: YY MM DD		Height:	Weight:	Eye colour:	Hair colour:
Place of birth (City, Province/State, Country) & Citizenship:						

Part 2 – Employment history

List your work history, all businesses that you have been involved with and all periods of employment and unemployment for the last 10 years, starting with your current employer. Include all corporations, partnerships and any other business ventures you have been associated with as an officer, director or shareholder. Attach as an appendix if necessary.

Position	Name and address of employer	From (year)	To (year)	Reason for leaving

Part 3 - Personal and criminal history

<p>Have you ever been investigated, arrested, detained, interviewed, charged or convicted of an offence (such as criminal, drug, gaming, customs, income tax or any offence related to any government assistance program) in any jurisdiction?</p> <p>If yes, please attach details including date and place of investigation, detention, arrest, charge or conviction, the description/ circumstances of the offence(s), the disposition of sentence and the name of investigating police agency or enforcement body.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>To your knowledge, do you have any charges or warrants outstanding or pending in any jurisdiction?</p> <p>If yes, please attach details including date of charge/offence(s), description/circumstances of the offence(s), and the name of investigating police agency or enforcement body.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>To your knowledge, has a business or corporation in which you had an interest or served as an officer or director ever been investigated, charged or convicted of an offence (criminal, liquor, drug, customs, income tax or any offence related to any government assistance program) in any jurisdiction? Or has that business or corporation ever been a defendant in a civil suit based in whole or part on fraud, deceit, misrepresentation, breach of trust or similar conduct?</p> <p>If yes, please attach details including date and place of investigation, detention, arrest, charge or conviction, the description/ circumstances of the offence(s), the disposition of sentence and the name of investigating police agency or enforcement body.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>Have you or a business you were involved with ever had a cannabis permit, licence, or other similar qualification (such as liquor licence or permit) refused, suspended, revoked, or withdrawn?</p> <p>If yes please attach details including the name of the jurisdiction and the reason and date of action.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>Have you had any claims made against you based in whole or in part on fraud, deceit, misrepresentation, breach of trust or similar conduct in any jurisdiction? This includes being a defendant in a civil suit.</p> <p>If yes, please attach details including the date of the lawsuit; a description of the lawsuit, including court file number and the names of other parties named in the lawsuit; the outcome of lawsuit, and the name and address of court.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>Have you ever made an assignment into bankruptcy, been petitioned into bankruptcy, or filed a proposal under an Act or legislation for Bankruptcy and Insolvency?</p> <p>If yes, please attach details including the file number, reason for bankruptcy, details of bankruptcy, the date of discharge, and the name of the trustee.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>Do you control, manage or hold in trust any assets or liabilities for another person or entity?</p> <p>If yes, please attach details.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>Are any of your assets or liabilities controlled, managed or held in trust by someone else?</p> <p>If yes, please attach details.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>Have you ever had assets seized for non-payment or has other action been taken to collect an account or debt that you owed?</p> <p>If yes, please attach details.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>Have you ever had a garnishee order executed against your salary or bank account?</p> <p>If yes, please attach details.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>

Part 4 – Personal financial information

(Include any relevant information from the past 12 months)

ASSETS (At lower of cost or market value)		LIABILITIES (amounts over \$5,000)	
LIST BANKS AND ACCOUNT NUMBERS	AMOUNT	PAYABLES	AMOUNT
Chequing	\$	Notes payable to relatives and friends	
		Notes payable to others	
Savings		Income tax payable	
Escrow		Accounts and bills payable	
Cash on hand		Debts secured by personal property	
Stocks and bonds		Credit cards payable	
Notes receivable		Accruals, including real estate taxes, etc.	
Accounts receivable			
Real estate owned		TOTAL CURRENT LIABILITIES	\$
Mortgages and contracts owned			
Automobiles		Mortgages and liens on real estate	
Personal property		Court ordered payments	
TOTAL ASSETS	\$	TOTAL LIABILITIES	\$
		NET WORTH = Total Assets Minus Total Liabilities	
INCOME	AMOUNT	CONTINGENT LIABILITIES	AMOUNT
Salary	\$	As guarantor, endorser, and co-signer	
Source of salary		On discounted paper, leases, and contracts	
Bonus and commissions		On surety bonds	
Dividends		Lawsuits or other liabilities (specify)	
Real estate income			
Other income			
TOTAL INCOME	\$		
PERSONAL INFORMATION		GENERAL INFORMATION	
Other business interests		Are any assets pledged or mortgaged other than as shown above? <input type="checkbox"/> Yes <input type="checkbox"/> No	
		If yes, give name, where, what and when. Attach copies of documents and court papers.	

Part 5 – Consent to obtain and release information and declaration of honesty (personal)

The Saskatchewan Liquor and Gaming Authority (SLGA) is required to collect personal information for the purpose of permitting prospective and current cannabis businesses. The following consent form allows SLGA representatives to verify or investigate the information provided in this Personal Disclosure form and permit application. SLGA is required under [The Freedom of Information and Protection of Privacy Act](#) to protect the confidentiality of such information in its possession and control, and to use the information only for the purpose for which it is collected. SLGA will retain the personal information on this form only as long as it is necessary to fulfill the purposes for which it was collected and in accordance with approved mandatory retention policies and schedules established with cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*.

I, THE UNDERSIGNED, HEREBY:

- (a) consent to the direct and indirect collection from any source and to the use by Saskatchewan Liquor and Gaming Authority (SLGA) of all personal, financial, business, general, or criminal information or documents that SLGA may reasonably require to determine an applicant's suitability to obtain and hold a cannabis permit, namely whether the applicant (including but not limited to individuals such as shareholders, officers, directors and affiliated companies, identified in connection with the applicant) is of good character;
- (b) consent to the release by SLGA of any information authorized to be collected pursuant to clause (a), to any law enforcement agency, other regulatory jurisdiction or agency with which SLGA has a formal arrangement or agreement;
- (c) consent to the release to SLGA by all persons, including but not limited to all federal, provincial, or municipal licensing bodies and departments, police services, law enforcement agencies, the registrar in bankruptcy, Canada Revenue Agency, credit bureaus, financial institutions, professional and industry associations, former and current employers, of all personal, financial, business, general or criminal information or documents that SLGA reasonably determines it requires respecting this application;
- (d) release all persons referred to in paragraph (c) including their officers, agents and employees, from all liability respecting the release of information to SLGA pursuant to paragraph (c); and
- (e) acknowledge and understand that a photocopy of this document will have the same force and effect as the original;
- (f) understand that the consent is in effect for as long as I am involved with a cannabis business permitted by SLGA; and
- (g) certify that the information provided in this Personal Disclosure form is accurate, correct and true. I understand that if any of the information provided in this form is not accurate, correct and true, SLGA may deny any application or may subsequently revoke any permit with which I am involved. I further understand that if any of the information provided in this application is fraudulent, I may be subject to prosecution under the *Criminal Code of Canada*.

I have read and understand the above statement.

First and last name (please print)

Date

Signature

Part 6 - Consent to a criminal record check

Legal First, Middle and Surname:			
Other names you have used (eg. Maiden name):			
Home address:			
City:	Province/State:	Country:	Zip/Postal Code:
Sex: <input type="checkbox"/> Female <input type="checkbox"/> Male	Date of Birth: YY MM DD	Place of Birth (City, Province, State, Country):	Driver's Licence No:

1. Have you ever been investigated, arrested, detained, interviewed, charged or convicted of an offence (such as criminal, drug, gaming, customs, income tax or any offence related to any government assistance program) in any jurisdiction?

Yes No

2. To your knowledge, do you have any charges pending in any jurisdiction? Yes No

If you answered "yes" to either of the above questions, please provide the details below (*criminal record statements will be verified*):

Date (mm/yy)	Offence/incident	Disposition/sentence	Investigating police dept.

DECLARATION – All of the information provided on this form is true and complete to the best of my knowledge. I understand that the Saskatchewan Liquor and Gaming Authority (SLGA) collects the information for the purpose of determining my suitability as an individual involved with a cannabis business. I CONSENT to the release to SLGA of any information as may be necessary to verify the information contained on this form. My signature authorizes the Royal Canadian Mountain Police (RCMP), through the CPIC system; or other law enforcement agencies, to release records of criminal charges or convictions for which a pardon has not been granted, records of discharges which have not been removed from the CPIC system in accordance with *The Criminal Records Act*, and records of outstanding criminal charges to SLGA. I release all persons referred to in this paragraph including their officers, agents and employees, from all liability respecting the release of information to SLGA. I further authorize SLGA to obtain a criminal record check during the time of application, the period of the permit granted pursuant to this application and any renewals.

Signature of applicant:	Date:
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RESULTS OF CRIMINAL RECORD CHECK BY LAW ENFORCEMENT AGENCY

- No criminal record or outstanding charges.
- A possible criminal record not disclosed by the applicant.
- A criminal record consistent with what was disclosed by the applicant.
- Outstanding charge(s) and/or warrant(s) consistent with what was disclosed by the applicant.

Signature/Rank of Officer

Law Enforcement Agency

Date

Copy of Department / Official Stamp

Section Five – Information Required of Corporations

Who must complete this part of the application?

In order to do business as a cannabis wholesaler in Saskatchewan, a business must apply to the Saskatchewan Liquor and Gaming Authority (SLGA) for a cannabis wholesale permit. SLGA is required by *The Cannabis Control (Saskatchewan) Act* and by corporate policy to determine whether or not an applicant for a cannabis permit is of good character. When determining good character, SLGA considers the business itself, its owners and key decision-makers, and if necessary, any other businesses and individuals that have direct or indirect control or involvement in the business applying for the permit.

The following individuals must complete and submit all forms in this section:

- All applicant or partner corporations or Indian Bands
- Corporations and trusts that hold at least 10% of voting or non-voting shares of applicant or partner corporations

Depending on the ownership and accountability structures of the applicant, SLGA reserves the right to require the Corporate Disclosure form for other stakeholders, including investors and shareholders that hold less than 10% of shares.

General information

1. Provide an answer to every question. If there is not enough room to provide a complete answer, use a separate sheet of paper and reference the applicable section.
2. SLGA will retain the information on this form only as long as it is necessary to fulfill the purposes for which it was collected and in accordance with approved mandatory retention policies and schedules established with cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*. SLGA is required under *The Freedom of Information and Protection of Privacy Act* to protect the confidentiality of the information you provide and to use it only for the purposes for which it was collected. To access *The Freedom of Information and Protection of Privacy Act*, go to:
<http://www.publications.gov.sk.ca/details.cfm?p=527>
3. You may withdraw your application if your plans change or if you do not wish to provide information about your business. To withdraw your application, submit a written request to SLGA at the address listed on this application form. However, once an application form and all related documents are received by SLGA, they become the property of SLGA and will not be returned. Any fees you have paid will be non-refundable.
4. If you have any questions regarding the form, please contact:

Manager, Cannabis Regulation
Saskatchewan Liquor and Gaming Authority
Phone: (306) 787-5563
Fax: (306) 787-8981
Email: cannabisbranch@slga.gov.sk.ca

5. Give this completed form to the person responsible for submitting the application and/or Corporate Disclosure forms to SLGA. If you would like to send this form directly to SLGA, please use the following address:

Cannabis Licensing and Inspections Branch
Saskatchewan Liquor and Gaming Authority
P.O. Box 5054, S4P 3M3 (mailing address)
2500 Victoria Ave, S4P 3X2 (courier address)
Regina, Saskatchewan CANADA

Corporate Disclosure

(copy as necessary)

Part 1 – Corporate information

Please complete this form for each of the applicant or partner corporations, as well as any shareholding corporations or trusts that hold at least 10% of shares in an applicant or partner corporation. As the information contained in this form will replace any previously held records, please ensure all information is accurate. If more room is needed on any section, please attach information as an appendix.

Corporation name: _____

Business name: _____

Corporate Address: _____
Address City, town, village, hamlet, etc. Postal code

What type of entity are you? (Please check one)

<input type="checkbox"/> Corporation (for-profit, non-profit, co-operative)	<input type="checkbox"/> Trust
<input type="checkbox"/> Other (please describe):	

Please list all officers, directors, shareholders, partners, trustees, and/or beneficiaries:

Position held	Name (please print)	Home address	Percentage of shares held	Consent Form attached
President/Trustee				Yes <input type="checkbox"/> No <input type="checkbox"/>
Vice President				Yes <input type="checkbox"/> No <input type="checkbox"/>
Secretary				Yes <input type="checkbox"/> No <input type="checkbox"/>
Treasurer				Yes <input type="checkbox"/> No <input type="checkbox"/>
Director				Yes <input type="checkbox"/> No <input type="checkbox"/>
Director				Yes <input type="checkbox"/> No <input type="checkbox"/>
Director				Yes <input type="checkbox"/> No <input type="checkbox"/>
Director				Yes <input type="checkbox"/> No <input type="checkbox"/>
Shareholder/Beneficiary				Yes <input type="checkbox"/> No <input type="checkbox"/>
Shareholder/Beneficiary				Yes <input type="checkbox"/> No <input type="checkbox"/>
Shareholder/Beneficiary				Yes <input type="checkbox"/> No <input type="checkbox"/>

Please list who has financial and or legal signing authority on behalf of the corporation:

I certify that the above information is true:

Applicant/permittee signature

Printed name

Date

All officers and directors, as well as corporate shareholders and non-minor trust beneficiaries holding at least 10% of shares/beneficial ownership, are required to complete the Personal Disclosure forms.

NOTE: SLGA will retain any personal information on these forms only as long as it is necessary to fulfill the purposes for which it was collected and in accordance with approved mandatory retention policies and schedules established with the cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*.

Part 2 – Corporate history

List all other jurisdictions where your business operates a cannabis related business.	
Has your business ever had a cannabis related permit, licence, or other similar qualification refused, suspended, revoked, or withdrawn? If yes, please attach details including name of the jurisdiction and the reason and date of action.	Yes <input type="checkbox"/> No <input type="checkbox"/>
Has your business ever been investigated, charged or convicted of an offence (criminal, liquor, drug, customs, income tax or any offence related to any government assistance program) in any jurisdiction? Or has your business ever been a defendant in a civil suit based in whole or part on fraud, deceit, misrepresentation, breach of trust or similar conduct? If yes, please attach details including date and place of investigation, detention, arrest, charge or conviction, the description/ circumstances of the offence(s), the disposition of sentence and the name of investigating police agency or enforcement body.	Yes <input type="checkbox"/> No <input type="checkbox"/>
Has your business had any claims made against it based in whole or in part on fraud, deceit, misrepresentation, breach of trust or similar conduct in any jurisdiction? If yes, please attach details including date of the lawsuit; a description of the lawsuit, including court file number and the names of other parties named in the lawsuit; the outcome of lawsuit, and the name and address of court.	Yes <input type="checkbox"/> No <input type="checkbox"/>
Has your business ever made an assignment into bankruptcy, been petitioned into bankruptcy, or filed a bankruptcy proposal? If yes, please attach details including file number, reason for bankruptcy, details of bankruptcy, the date of discharge, and the name of trustee.	Yes <input type="checkbox"/> No <input type="checkbox"/>
Do you control, manage or hold in trust any assets or liabilities for another person or entity? If yes, please attach details.	Yes <input type="checkbox"/> No <input type="checkbox"/>
Are any of your assets or liabilities controlled, managed or held in trust by someone else? If yes, please attach details.	Yes <input type="checkbox"/> No <input type="checkbox"/>
Have you ever had assets seized for non-payment or has other action been taken to collect an account or debt that you owed? If yes, please attach details.	Yes <input type="checkbox"/> No <input type="checkbox"/>

Part 3 – Corporate financial information

Financial statements for the past three years, or to the date your business first undertook any kind of activity including fundraising (whichever is less), must accompany your application. They must be prepared by an accountant holding a professional designation and certified correct and in accordance with generally accepted accounting principles. The statements must reflect the business operation for which the application is being submitted and must include a balance sheet and income statement. Where applicable, consolidated financial statements must be submitted.

Part 4 – Consent to obtain and release information and declaration of honesty (corporate)

The Saskatchewan Liquor and Gaming Authority (SLGA) is required to collect personal information for the purpose of permitting prospective and current cannabis businesses. The following consent form allows SLGA representatives to verify or investigate the information provided in this Corporate Disclosure form and permit application. SLGA is required under [The Freedom of Information and Protection of Privacy Act](#) to protect the confidentiality of such information in its possession and control, and to use the information only for the purpose for which it is collected. SLGA will retain the personal information on this form only as long as it is necessary to fulfill the purposes for which it was collected and in accordance with approved mandatory retention policies and schedules established with cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*.

I, THE UNDERSIGNED, ON BEHALF OF THE NAMED CORPORATION, HEREBY:

- (a) consent to the direct and indirect collection from any source and to the use by Saskatchewan Liquor and Gaming Authority (SLGA) of all personal, financial, business, general, or criminal information or documents that SLGA may reasonably require to determine the applicant’s suitability to obtain and hold a cannabis permit, namely whether the applicant (including but not limited to individuals such as shareholders, officers, directors and affiliated companies, identified in connection with the applicant) is of good character;
- (b) consent to the release by SLGA of any information authorized to be collected pursuant to clause (a), to any law enforcement agency, other regulatory jurisdiction or agency with which SLGA has a formal arrangement or agreement;
- (c) consent to the release to SLGA by all persons, including but not limited to all federal, provincial, or municipal licensing bodies and departments, police services, law enforcement agencies, the registrar in bankruptcy, Canada Revenue Agency, credit bureaus, financial institutions, professional and industry associations, former and current employers, of all personal, financial, business, general or criminal information or documents that SLGA reasonably determines it requires respecting this application;
- (d) release all persons referred to in paragraph (c) including their officers, agents and employees, from all liability respecting the release of information to SLGA pursuant to paragraph (c); and
- (e) acknowledge and understand that a photocopy of this document will have the same force and effect as the original;
- (f) understand that the consent is in effect for as long as this corporation is involved with a cannabis business permitted by SLGA; and
- (g) certify that the information provided in this Corporate Disclosure form is accurate, correct and true. I understand that if any of the information provided in this form is not accurate, correct and true, SLGA may deny any application or may subsequently revoke any permit with which I, the corporation, and/or the applicant is involved. I further understand that if any of the information provided in this application is fraudulent, I, the corporation, and/or the applicant may be subject to prosecution under the *Criminal Code of Canada*.

I have read and understand the above statement.

First and last name (please print)

Signature

Name of corporation

Date

Section Six – Financial and Business Agreements

<p>Does your business have outstanding loans or financial obligations (from financial institutions, individuals or other sources) obtained for use in operating this business?</p> <p>If yes, please attach details including name and address of creditors, the loan number (if applicable), initial loan amount and present balance, date loan was taken out, and the date loan is due. Be sure to email (pdf preferred) or attach copies of any loan agreements you have outstanding.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>
<p>Has your business entered into verbal or written agreements or contracts with any other individuals or businesses related to financing, ownership, or control of this business (current or future) not described elsewhere in this application?</p> <p>If yes, please attach details including copies of any agreements.</p>	<p>Yes <input type="checkbox"/> No <input type="checkbox"/></p>

Section Seven - Certification of Information

I, THE UNDERSIGNED, HEREBY:

1. Certify that I/we am/are the applicant(s) named in this application **OR** I am the _____ of the Corporation/Indian Band named in this application and have authority to speak on their behalf; [office held]
2. Certify that the facts contained in this application are complete and true in substance and in fact;
3. Certify that the applicant has complied with the requirements of *The Cannabis Control (Saskatchewan) Act, The Cannabis Control Regulations* and any other applicable legislation;
4. Acknowledge and understand that a photocopy or other electronic reproduction of this document will have the same force and effect as the original;

I have read and understand the above statement.

Name(s): _____
[please print name(s)]

Signature(s): _____

Business Name: _____ Date: _____

Section Eight - Additional Information to be Submitted

The following additional information will be required in order to complete your application and before a permit can be issued, but do not have to be included in your initial application package.

- A floor plan drawing of the warehouse space (all changes to the layout of an existing permitted warehouse require approval prior to proceeding with the renovations):
 - If you are planning changes to the layout of an existing permitted warehouse, please ensure your floor plan drawing clearly labels the proposed renovations
 - A floor plan is not required if the warehouse has been previously permitted and the applicant is not making any changes to the current layout
- A detailed description of the inventory management and sales tracking system that will be used.
 - For specifications, see [Appendix A – cannabis tracking and reporting](#) available at www.slga.com
 - SLGA will confirm that your system is appropriately configured before a permit will be issued
- A detailed description of security measures that will be put in place for the protection and safeguarding of inventory, including an engineer's report confirming that the cannabis storage area(s) complies with security requirements
 - For specifications, see [Appendix B – warehouse security requirements](#) available at www.slga.com
 - **It is strongly recommended that you submit this report for SLGA approval before any construction or renovations start**
- The applicant must be lawfully entitled to possession of the premises where the cannabis warehouse will be located in Saskatchewan. To verify legal possession, the following documentation is required for all relevant locations:
 - If the applicant owns the premises, a copy of the certificate of title
 - If the applicant leases the premises from the owner, a copy of the lease agreement, or
 - If the applicant leases the premises from a lessee, a copy of the sublease as well as a copy of the head lease and the landlord's written consent
- Copy of municipal business licence or written approval of the facility location from the municipality
- Class 3 building inspection approval for all facilities
- (Optional) Copies of conditional agreements, letters of intent, or other similar documents demonstrating access to supply from a federally licensed LP and/or commitments made by Saskatchewan retailers to purchase your product
 - Only commitments from retailers that are either permitted or have been selected for a retail opportunity through an SLGA selection process will be considered relevant

Before a permit can be issued:

- An SLGA Cannabis Inspector will attend the proposed location of the cannabis warehouse in order to verify the contents of the submitted application package. This will include but is not limited to the verification of the security safeguards as well as the existence and proper configuration of the inventory management and sales tracking system.
- You will be required to complete and submit a Declaration of Compliance form (Section Nine) verifying that your business complies with all other applicable legislation. If your warehouse is under construction or renovation, this form should not be completed until the premises have received approval from a Building Inspector.
- You will have to pay the first annual permit fee of \$3,000. This can be paid by cheque or money order, or an SLGA representative can take your credit card payment by phone.

Section Nine - Declaration by Applicant

COMPLIANCE WITH OTHER APPLICABLE LEGISLATION

SLGA requires all permitted businesses to comply with any relevant federal, provincial, or municipal legislation. All cannabis permitted warehouses must also have approval from a Building Inspector to ensure the warehouse complies with relevant building codes.

By completing the declaration below, you are confirming that your warehouse and operation are and will remain in compliance with any relevant federal, provincial, or municipal laws.

IMPORTANT NOTICE:

If a warehouse is not in compliance with applicable building code requirements or any other relevant laws, SLGA may refuse to issue a permit, or may suspend or cancel an existing cannabis permit.

DECLARATION:

I, THE UNDERSIGNED, HEREBY solemnly declare that the warehouse and operation to which this application relates are and will remain in compliance with any relevant federal, provincial, or municipal laws.

Name(s): _____
[please print name(s)]

Signature(s): _____

Business Name: _____ Date: _____