

Who Must Complete This Application?

Suppliers conducting business in Saskatchewan's gaming industry must use this form to renew their registration as a supplier of gaming and non-gaming supplies and services. The requirement to register is outlined in *The Alcohol and Gaming Regulation Act, 1997* and *The Gaming Regulations, 2007*.

General Information

1. Provide an answer to every question. If there is not enough room to provide a complete answer, use a separate sheet of paper and reference the applicable section.
2. SLGA will retain the information on this form only as long as it is necessary to fulfill the purposes for which it was collected and in accordance with approved mandatory retention policies and schedules established with cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*. SLGA is required under *The Freedom of Information and Protection of Privacy Act* to protect the confidentiality of the information you provide and to use it only for the purposes for which it was collected. To access *The Freedom of Information and Protection of Privacy Act*, go to:
<http://www.publications.gov.sk.ca/details.cfm?p=527>
3. You may withdraw your application if your plans change or if you do not wish to provide information about your business. To withdraw your application, submit a written request to SLGA at the address listed on this application form. However, once an application form and all related documents are received by SLGA, they become the property of SLGA and will not be returned. Any fees you have paid will be non-refundable.
4. If you have any questions regarding the application process or any of the registration requirements, please contact:

Manager, Gaming Registrations, Gaming Integrity & Licensing Branch
Saskatchewan Liquor and Gaming Authority
Phone: (306) 787-1771 Fax: (306) 798-0052
Email: registration@slga.gov.sk.ca
5. Mail your completed application package to the following address. Electronic information such as financial statements, annual reports, etc. can also be submitted via email to registration@slga.gov.sk.ca. Be sure to reference which materials will be submitted electronically or are available through your website in the applicable section of the application form. The completed forms can be emailed to registration@slga.gov.sk.ca. Please contact registration at the above for more information or visit SLGA.com to complete the forms on-line.

Gaming Integrity & Licensing Branch, Registration
Saskatchewan Liquor and Gaming Authority
P.O. Box 5054, S4P 3M3 (mailing address);
2500 Victoria Ave, S4P 3X2 (courier address);
Regina, Saskatchewan CANADA

Part 1 – Business Name

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| Legal name: |
| Trade name (if applicable): |
| Other names you have operated under (if applicable): |

Part 2 – Business Address

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| Head Office/Business address: | | | |
| City: | Province/State: | Country: | Zip/Postal Code: |
| Primary business phone: | Fax: | Customer inquiry phone: | |
| Email address: | | Web address: | |
| Mailing address for the purpose of this application (if different from the Head Office/Business address): | | | |
| City: | Province/State: | Country: | Zip/Postal Code: |
| Telephone: | | Fax: | |
| Mailing address for sales/customer inquiries (if different from the above): | | | |
| City: | Province/State: | Country: | Zip/Postal Code: |

Part 3 – Contact Information (for the purpose of this application)

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| Name: | | | |
| Position: | | | |
| City: | Province/State: | Country: | Zip/Postal Code: |
| Direct business phone: | Fax: | Email: | |

Part 4 – Business Structure

Check the appropriate box as it applies to your business:

Corporation

Partnership

Sole proprietorship

Other: _____

Part 5 – Product and/or Service Information

Describe the nature of your business (manufacturer, distributor, bingo or lottery management, testing facility, etc.). Include the specific type of product and/or services you wish to supply in Saskatchewan.

Provide the total value of gaming business, in Canadian dollars, you made in Saskatchewan in the past year. If the total value exceeded \$10,000, please remit the \$1,050 annual fee with this form.

Provide the anticipated annual value of gaming business, in Canadian dollars, for the upcoming 12 months.

If you are a **manufacturer** and will be using a distributor to market and supply to Saskatchewan, provide the name, contact information and phone number of the distributor(s).

If you are a **distributor**, provide the name, product description, contact information and phone number of the company(s) for whom you act as a distributor. Attach as an appendix if necessary.

If applicable, provide the name of the gaming establishment(s) that you will be providing supplies or services to. If your application is a result of bidding on a tender from a gaming establishment, provide the tender number.

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Part 6 – Gaming History

Gaming refers to activities involving lotteries, casinos, bingos, pull-tab tickets, break-open tickets, raffles and horse racing.

Since your last application, has your business applied to a gaming agency, authority or licensing body for a gaming permit, license, certificate, registration or other similar qualification?

- Yes – provide the information requested below No – proceed to the next question

Provide the name and address of the jurisdiction, type of qualification, date of approval and any attached conditions or stipulations. Attach as an appendix if necessary.

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Since your last application, has your business had a gaming permit, license, certificate, registration or other similar qualification refused, suspended, revoked or withdrawn?

- Yes – provide the information requested below No – proceed to the next question

Provide the name and address of the jurisdiction, the type of qualification, reason and date of action. Attach as an appendix if necessary.

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Since your last application, have any of your key people or officers been found unsuitable in any gaming jurisdiction?

- Yes – provide the information requested below No – proceed to Part 7

Provide the name of the person and the reason they were found unsuitable. Attach as an appendix if necessary.

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Part 7 – Criminal History

Since your last application, has your business been investigated, charged or convicted of an offence (criminal, drug, gaming, customs, income tax or any offence related to a government financial assistance program) in any jurisdiction?

- Yes – provide the information requested below No – proceed to Part 8

If ‘yes’, provide the details (eg. date and place of investigation, detention, arrest, charge or conviction, the description/ circumstances of the offence(s), the disposition of sentence and the name of investigating police agency or enforcement body). Attach as an appendix if necessary.

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Part 8 – Civil Proceedings

Since your last application, has your business had any claims made against it based in whole or in part on fraud, deceit, misrepresentation, breach of trust or similar conduct in any jurisdiction?

- Yes – provide the information requested below No – proceed to Part 9

If ‘yes’, provide the details (eg. date of lawsuit; a description of the lawsuit, including court file number and the names of other parties named in the lawsuit; the outcome of lawsuit, and the name and address of court). Attach as an appendix if necessary.

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Part 9 – Bankruptcy

Since your last application, has your business made an assignment into bankruptcy, been petitioned into bankruptcy or filed a bankruptcy proposal?

- Yes – provide the information requested below No – proceed to Part 10

If ‘yes’, provide the details (eg. the date file, reason for bankruptcy, details of bankruptcy, the date of discharge and the name of the trustee). Attach as an appendix if necessary.

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Part 10 – Financial and Business Agreements

Does your business have outstanding loans or financial obligations (from financial institutions, individuals or other sources) obtained for use in operating this business?

- Yes – provide the information requested below No – proceed to the next question

If ‘yes’, provide the name and address of creditors, the loan number, initial loan amount and present balance, date loan was taken out, and the date loan is due. Be sure to attach copies of any loan agreements you have outstanding. Attach as an appendix if necessary.

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Since your last application, has your company entered into verbal or written agreements or contracts with any casinos or other gaming associations in Saskatchewan?

- Yes – provide the details in the area below No – proceed to Part 11

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SLGA may, at any time during the review of your application or during your period of registration, request copies of agreements or contracts entered into with any manufacturers, distributors, casinos, or other gaming associations located outside of Saskatchewan.

Part 11 – Consent to Obtain and Release Information and Declaration of Honesty

The Saskatchewan Liquor and Gaming Authority (SLGA) is required to collect personal information for the purpose of registering prospective and current gaming suppliers of gaming and non-gaming supplies and services. This information is collected under *The Alcohol and Gaming Regulation Act, 1997*. In order to comply with requirements set forth in *The Alcohol and Gaming Regulation Act, 1997* and *The Gaming Regulations, 2007*, the following consent form allows SLGA representatives to verify or investigate the information provided in this registration application. SLGA is required under *The Freedom of Information and Protection of Privacy Act* to protect the confidentiality of such information in its possession and control, and to use the information only for the purpose for which it is collected. SLGA will retain the personal information on this form only as long as it is necessary to fulfill the purposes for which it was collected and in accordance with approved mandatory retention policies and schedules established with cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*.

I THE APPLICANT OR REGISTRANT HEREBY:

- (a) consent to the direct and indirect collection from any source and to the use by Saskatchewan Liquor and Gaming Authority (SLGA) of all personal, financial, business, general, or criminal information or documents that SLGA may reasonably require to determine the prerequisites under *The Alcohol and Gaming Regulation Act, 1997* and *The Gaming Regulations, 2007* to grant and maintain a certificate of registration to the applicant, namely whether the applicant (including individuals such as shareholders, officers, directors and affiliated companies, identified in connection with the applicant):
 - (i) is of good character;
 - (ii) has demonstrated financial responsibility; and
 - (iii) is capable of supplying gaming or non-gaming supplies and services that are suitable;
- (b) consent to the release by SLGA of any information authorized to be collected pursuant to clause (a), to any law enforcement agency, other gaming regulatory agency with respect to the conduct of investigations pursuant to the Acts and Regulations the gaming regulatory agency administers and any laws of Canada that are enforced by its officers or employees;
- (c) consents to the release to SLGA by all persons, including but not limited to all federal, provincial, or municipal licensing bodies and departments, police services, law enforcement agencies, the registrar in bankruptcy, Canada Revenue Agency, credit bureaus, financial institutions, professional and industry associations, former and current employers, of all personal, financial, business, general or criminal information or documents that SLGA reasonably determines it requires respecting this application;
- (d) release all persons referred to in paragraph (c) including their officers, agents and employees, from all liability respecting the release of information to SLGA pursuant to paragraph (c); and
- (e) acknowledge and understand that a photocopy of this document will have the same force and effect as the original;
- (f) understand that the consent is in effect for as long as I hold a certificate of registration and for any registration renewals;
- (g) certify that the information provided in this application is accurate, correct and true. I understand that if any of the information provided in this application is not accurate, correct and true, SLGA may deny my application or may subsequently revoke my gaming registration. I further understand that if any of the information provided in this application is fraudulent, I may be subject to prosecution under the *Criminal Code of Canada*.

I have read and understand the above statement.

First and last name (please print)

Signature (digital signatures not accepted)

Date