

Who Must Complete This Application?

All registered gaming regulators must use this form to apply for renewal of their certificate of registration.

General Information

1. Print or type your responses. You must answer every question in this application. Incomplete applications will be returned.
2. A passport-type photo is to be attached to this application. You can also email a photo of yourself (jpg format only) to registration@slga.gov.sk.ca or make arrangements to have your photo taken in SLGA's Gaming Integrity Branch in Regina. It should be head and shoulders only.
3. If SLGA identifies areas of concern in the review of your application, it will initiate an interview or investigation to establish your suitability to renew your registration as a gaming regulator. Through the interview or investigation process, SLGA will gather any information it considers necessary and may request additional information from you, including character references. By signing the attached consent, you are agreeing that SLGA may collect and use this information.
4. All personal information you provide to SLGA is confidential. SLGA is required under *The Freedom of Information and Protection of Privacy Act* to protect the confidentiality of personal information in its possession and control, and to use the information only for the purpose for which it is collected.
5. You are required to inform SLGA within 7 days of any changes to the information you supplied that might affect your registration. Changes that you must report include, but are not limited to, change of address, new charges, convictions, findings of guilt, civil or bankruptcy proceedings and/or if you are the subject of a gaming investigation by a regulatory agency, other than as an applicant for registration/licensing purposes.
6. If your renewal application is approved, SLGA will mail you your registration tag containing your photo, name, title and registration number. The tag will be valid for three years, unless an earlier date is indicated.
7. If you have any questions regarding the registration process or any of the information found in this form, please contact the Director, Gaming Integrity Branch - Saskatchewan Liquor and Gaming Authority at phone: (306) 787-8637; fax: (306) 798-0052 or email: registration@slga.gov.sk.ca.
8. Send your completed form and passport-type photo; marked **Confidential** to:

Registration Supervisor, Gaming Integrity Branch
Saskatchewan Liquor and Gaming Authority
P.O. Box 5054, S4P 3M3 (mailing address)
2500 Victoria Ave, S4P 3X2 (courier address)
Regina, Saskatchewan

Part 1 – Personal Information

| | |
|----------------------------------|-----------------------------|
| Legal first, middle and surname: | Name to appear on your tag: |
| Job Title/Branch: | |
| Work/business phone: | Cell phone: |
| Email address: | |

Part 2 – Disciplinary Action

Since your last application, have you had disciplinary action taken against you as a member of a regulatory body, society or group?

Yes – provide the details below No – proceed to Part 3

If 'yes', provide the date of discipline, name of regulatory body and description of disciplinary action. Attach as an appendix if necessary.

Part 3 – Gaming History

- Since your last application, have you applied to a gaming agency in Saskatchewan or any other province, state or country for a gaming permit, licence, certificate or other similar qualification?
 - No – proceed to the next question
 - Yes – provide the information requested below (attach as an appendix if necessary)

| Type of licence | Name and address of jurisdiction | Date of approval | Conditions/stipulations |
|-----------------|----------------------------------|------------------|-------------------------|
| | | | |

- Since your last application, have you had a gaming permit, licence, certificate or similar qualification refused, suspended, revoked or withdrawn?
 - No – proceed to the next question
 - Yes – provide the information requested below (attach as an appendix if necessary)

| Type of licence | Name and address of jurisdiction | Date | Reason |
|-----------------|----------------------------------|------|--------|
| | | | |

3. Since your last application, have you or any of your relatives acquired a financial or ownership interest in any gaming activity or enterprise?

- No – proceed to the next question
- Yes – provide the information requested below (attach as an appendix if necessary)

| Name | Relationship to you | Type of financial or ownership interest |
|------|---------------------|-----------------------------------------|
| | | |

4. Since your last application, have any of your relatives obtain employment at the location where you are employed or at a gaming location for which you perform regulatory or audit functions?

- No – proceed to Part 4
- Yes – provide the information requested below (attach as an appendix if necessary)

| Name | Relationship to you | Location | Position(s) they hold |
|------|---------------------|----------|-----------------------|
| | | | |
| | | | |

Part 4 – Criminal History

The following questions pertain to your criminal history. A criminal history may include criminal investigations, interviews, detentions, arrests and charges that may or may not have resulted in a conviction.

Answer ‘yes’ to the questions if:

- i) you were charged and convicted of a criminal offence;
- ii) the charges were dismissed or subsequently downgraded to a lesser charge;
- iii) the charges were stayed;
- iv) you received a conditional discharge;
- v) you completed an alternative measures or other similar program;
- vi) you were charged but not convicted;
- vii) you received a fine; or
- viii) the investigation, charges or offence happened in another jurisdiction/province/country.

Answer ‘no’ to the questions if:

- i) you received a pardon under *The Criminal Records Act* (Canada) or similar legislation, or if any records relating to a charge or conviction have been expunged or otherwise officially sealed by a court or government agency or
- ii) you have never been investigated, arrested, detained, interviewed, charged or convicted of an offence.

Since your last application, have you been investigated, arrested, detained, interviewed, charged or convicted of an offence (such as criminal, drug, gaming, customs, income tax or any offence related to any government assistance program) in any jurisdiction?

- Yes – provide the information requested on the next page
- No – proceed to the next question

If ‘yes’, provide the details (eg. date and place of investigation, detention, arrest, charge or conviction, the description/circumstances of the offence(s), the disposition of sentence and the name of investigating police agency or enforcement body). Attach as an appendix if necessary.

To your knowledge, do you have any charges or warrants outstanding or pending in any jurisdiction?

- No – proceed to the Part 5
- Yes – provide the information requested below

If 'yes', provide the details (eg. date of charge/offence(s), description/circumstances of the offence(s), and the name of investigating police agency or enforcement body). Attach as an appendix if necessary.

Part 5 – Civil Proceedings

Since your last application, have you been a defendant in a civil suit based in whole or in part on fraud, deceit, misrepresentation, breach or trust or similar conduct?

- No – proceed to Part 6
- Yes – provide the information requested below

If 'yes', provide the details (eg. date of lawsuit; a description of the lawsuit, including court file number and the names of other parties named in the lawsuit; the outcome of lawsuit, and the name and address of court). Attach as an appendix if necessary.

Part 6 – Bankruptcy

Since your last application, have you made an assignment into bankruptcy, been petitioned into bankruptcy or filed a proposal under the *Bankruptcy and Insolvency Act*?

- No – proceed to Part 7
- Yes – provide the information requested below

If 'yes', provide the details (eg. the date file, reason for bankruptcy, details of bankruptcy, the date of discharge and the name of the trustee). Attach as an appendix if necessary.

Part 7 – Counselling or Treatment

Since your last application, have you taken some type of counselling or treatment recently that might reflect favourably on your application to renew your registration?

- Yes – provide the information requested below
- Do not wish to disclose
- No – proceed to Part 8

If 'yes', provide the type of counselling or treatment and the name and address of the agency the counselor is employed by.

The Saskatchewan Liquor and Gaming Authority (SLGA) is required to collect personal information for the purpose of registering prospective and current gaming regulator. This information is collected under *The Alcohol and Gaming Regulation Act, 1997*. In order to comply with requirements set forth in *The Alcohol and Gaming Regulation Act, 1997* and *The Gaming Regulations, 2007*, the following consent form allows SLGA representatives to verify or investigate the information provided in this registration application. SLGA is required under *The Freedom of Information and Protection of Privacy Act* to protect the confidentiality of such information in its possession and control, and to use the information only for the purpose for which it is collected. SLGA will retain the personal information on this form only as long as it is necessary to fulfill the purposes for which it was collected and in accordance with approved mandatory retention policies and schedules established with cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*.

I THE APPLICANT OR REGISTRANT HEREBY:

(a) consent to the direct and indirect collection from any source and to the use by the Saskatchewan Liquor and Gaming Authority (SLGA) of all personal, financial, business, general, or criminal information or documents that SLGA may reasonably require to determine the prerequisites under *The Alcohol and Gaming Regulation Act, 1997* and *The Gaming Regulations, 2007* to grant and maintain a gaming regulator certificate of registration to the applicant, namely whether the applicant:

- (i) is of good character; and
- (ii) has suitable training or experience;

(b) consent to the release by SLGA of any information authorized to be collected pursuant to clause (a), to any law enforcement agency, other gaming jurisdiction or agency with which SLGA has a formal arrangement or agreement;

(c) consent to the release to SLGA by all persons, including but not limited to all federal, provincial, or municipal licensing bodies and departments, police services, law enforcement agencies, the registrar in bankruptcy, Canada Revenue Agency, credit bureaus, financial institutions, professional and industry associations, former and current employers, of all personal, financial, business, general or criminal information or documents that SLGA reasonably determines it requires respecting this application;

(d) release all persons referred to in paragraph (c) including their officers, agents and employees, from all liability respecting the release of information to SLGA pursuant to paragraph (c);

(e) acknowledge and understand that a photocopy of this document will have the same force and effect as the original;

(f) understand that the consent is in effect for as long as I hold a certificate of registration and for any registration renewals; and

(g) certify that the information provided in this application is accurate, correct and true. I understand that if any of the information provided in this application is not accurate, correct and true, SLGA may deny my application or may subsequently revoke my gaming registration. I further understand that if any of the information provided in this application is fraudulent, I may be subject to prosecution under the *Criminal Code of Canada*.

I have read and understand the above statement.

First and Last Name

Date

Gaming Location/Company

SUBMIT RENEWAL

This application must be submitted to SLGA for further processing. This can be done by either clicking the "Submit to SLGA" button or by manually attaching this completed form to an email and sending it to Registration@slga.gov.sk.ca. If you have additional supporting documents that need to be sent along with your application then choose the manual email option and attach all of the required supporting documentation.

Before submitting your application you acknowledge that you must submit:

A passport-type photo
