

PERSONAL HISTORY AND CONSENT TO OBTAIN AND RELEASE INFORMATION

****Saskatchewan Liquor and Gaming Authority (SLGA) requires a completed Personal History and Consent to Obtain and Release Information form and a Criminal Record Check every three (3) years. An update may also be requested at any time during the term of the permit. ****

Part 1 Personal Information

Complete this form for:

- All shareholders with 20% or more shares, as well as all directors and officers in the applicant company;
- All shareholders in a company with a beneficial ownership of 20% or more shares in the applicant company; and
- Any person or corporation receiving 20% or more share of revenue from alcohol sales.

If more room is needed on any section, please attach information as an appendix.

If there are questions about your identity or the information provided, the police or SLGA may require a fingerprint submission. SLGA may request periodic updates as deemed necessary.

Incomplete documents will be returned and will result in a delay of processing your application. You are required to provide a criminal record check obtained from your local police office.

Personal Data - Please PRINT clearly and complete all areas.			
Name: _____			
Last	First	Middle	
Current address:			
_____		_____	_____
Street address	City	Province	Postal code
Home Phone: (_____) _____	Work: (_____) _____	Cell: (_____) _____	
Email Address: _____			
Sex:	<input type="checkbox"/> Male	<input type="checkbox"/> Female	
Maiden Name or any other name ever used: _____			
Date of Birth _____		Place of Birth _____	
(yyyy/mm/dd)		(Town/City/Province/State and Country)	
_____	_____	_____	_____
Height (ft/in)	Weight (lbs)	Eye color	Hair color

Part 2 Criminal History / Civil Proceedings / Financials

The following questions relate to the existence of a criminal history. A criminal history may include criminal investigations, detentions, arrests and charges that may or may not have resulted in a conviction. Any statement regarding a criminal history will be verified through a criminal record check.

You are required to disclose all criminal investigations, charges and convictions regardless of the outcome. Please answer the following questions.

1. Have you ever been investigated, arrested, detained, charged, or convicted of an offence (such as criminal, drug, gaming, customs, income tax or any offence related to any government assistance program) in any jurisdiction?

Yes - provide the information requested below **No – proceed to the next question**

If 'yes' please provide the details (eg. date and place of investigation, detention, arrest, charge or conviction, the description/circumstances of the offence(s), the disposition of sentence and the name of investigating police agency or enforcement body). Attach information as an appendix if necessary.

2. Do you have charges or warrants outstanding or pending in any jurisdiction?

Yes - provide the information requested below **No - proceed to the next question**

If 'yes' provide the details (eg. date of charge/offense(s), the description/circumstances of the offence(s), and the name of investigating police agency or enforcement body). Attach information as an appendix if necessary.

3. In the past three (3) years have you been convicted or sanctioned for violation of, or non-compliance with *The Alcohol and Gaming Regulation Act, 1997*, or *The Alcohol Control Regulations, 2016* or any Act or Regulations of any other province of Canada relating to the control of beverage alcohol?

Yes - SLGA has taken compliance/ enforcement action (proceed to next question)
 Yes – In another province (provide the information requested below) **No - proceed to the next question**

If 'yes' please provide the details including the name of the jurisdiction and the reason and date of action. Attach information as an appendix if necessary.

4. Have you or a business you were involved with ever had a liquor permit or gaming related program, license, or other similar qualification refused, suspended, revoked, or withdrawn?

Yes - provide the information requested below **No - proceed to the next question**

If 'yes' please provide details including the name of the jurisdiction and the reason and date of action. Attach information as an appendix if necessary.

A criminal record will not automatically result in your application being denied. Your record will be discussed with you if it is considered relevant to your need to establish good character.

Part 3 Declaration

The Saskatchewan Liquor and Gaming Authority (SLGA) is required to collect personal information for the purpose of evaluating suitability of character for key stakeholders in prospective liquor permit applicants as well as maintaining good character during the term of the permit. This information is collected under *The Alcohol and Gaming Regulation Act, 1997* and *The Alcohol Control Regulations, 2016*. In order to comply with requirements set forth in *The Alcohol and Gaming Regulation Act, 1997* and *The Alcohol Control Regulations, 2016* **the following consent form allows SLGA representatives to verify or investigate the information provided in this Personal Disclosure Form.** SLGA is required under *The Freedom of Information and Protection of Privacy Act*, to protect the confidentiality of such information in its possession and control, and to use the information only for the purpose for which it is collected. SLGA will retain the personal information on this form only as long as it is necessary to fulfill the purposes for which it is collected and in accordance with approved mandatory retention, policies and schedules established with cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*.

I, _____, **HEREBY:**
First and Last Name (please print)

- (a) consent to the direct and indirect collection from any source and to the use by SLGA of all personal, business, general, or criminal information or documents that SLGA may reasonably require to determine compliance with the requirements under *The Alcohol and Gaming Regulation Act, 1997* and *The Alcohol Control Regulations, 2016* to grant and maintain a liquor permit to the applicant, namely whether the applicant (including individuals such as shareholders, officers, directors and affiliated companies, identified in connection with the applicant) is of good character.
- (b) consent to the release by SLGA of any information authorized to be collected pursuant to clause (a), to any law enforcement agency or other alcohol regulatory agency with respect to the conduct of investigations pursuant to the Acts and Regulations the alcohol regulatory agency administers and laws that are enforced by its officers or employees;
- (c) consent to the release to SLGA by all persons, including but not limited to all federal, provincial, or municipal licensing bodies and departments, police services, law enforcement agencies, Canada Customs and Revenue Agency, professional and industry associations, business, general or criminal information or documents that SLGA reasonably determines it requires respecting this applicant;
- (d) release all persons referred to in paragraph (c) including their officers, agents and employees, from all liability respecting the release of information to SLGA pursuant to paragraph (c); and
- (e) acknowledge and understand that a photocopy or other electronic reproduction of this document will have the same force and effect as the original;
- (f) understand that the consent is in effect for as long as I maintain my involvement with a liquor permit with SLGA; and
- (g) certify that the information provided in this application is accurate, correct and true. I understand that if any of the information provided in this application is not accurate, correct and true, SLGA may deny my application or may subsequently revoke my liquor permit. I further understand that if any of the information provided in this application is fraudulent, I may be subject to prosecution under the *Criminal Code of Canada*.

If you have not done so already or if your criminal history has changed, you are required to provide a criminal record check obtained from your local police office or RCMP detachment.

AUTHORIZATION:

I have read and understand the previous statements.

For the purpose of verifying information supplied to the Saskatchewan Liquor and Gaming Authority (SLGA), I hereby authorize and request the Royal Canadian Mounted Police (RCMP) or other police agency, to release to the President/CEO of SLGA, or their designate, information regarding my criminal history, outstanding charges and previous contracts with the police that is reasonably regarded as necessary for the purpose of processing my application and monitoring of my ongoing suitability with respect to requirements for receiving and maintaining a liquor permit.

I understand that this authorization is effective for the period that I am seeking or associated with, a liquor permit.

I agree to indemnify and hold harmless SLGA and person(s) to whom this request is presented and their agents and employees from and against all claims arising out of by reason of complying with this request.

First and Last name (please print)

Date (yyyy/mm/dd)

Signature (digital signatures not accepted)

RESULTS OF CHECK BY POLICE OR RCMP (may attach results in separate document)

(A name check of police records reveals)

<input type="checkbox"/> No criminal record or outstanding charges	<input type="checkbox"/> A criminal record consistent with that disclosed by the applicant
<input type="checkbox"/> A possible criminal record not disclosed by the applicant	<input type="checkbox"/> Outstanding charge(s) consistent with that disclosed by the applicant

Signature / Rank of Officer

Date (yyyy/mm/dd)

Police Department / Detachment

Department Stamp