

## Who Must Complete This Application?

All gaming directors must use this form to apply for renewal of their certificate of registration.

## General Information

1. Print or type your responses. You must answer every question in this application. Incomplete applications will be returned.
2. A passport-type photo is to be attached to this application. You can also email a photo of yourself (jpg format only) to [registration@slga.com](mailto:registration@slga.com). The photo should be head and shoulders only.
3. If SLGA identifies areas of concern in the review of your application, it will initiate an interview or investigation to establish your suitability to renew your registration as a gaming director. Through the interview or investigation process, SLGA will gather any information it considers necessary and may request additional information from you, including character references, employment history, etc. By signing the attached consent, you are agreeing that SLGA may collect and use this information.
4. All personal information you provide to SLGA is confidential. SLGA is required under *The Freedom of Information and Protection of Privacy Act* to protect the confidentiality of personal information in its possession and control, and to use the information only for the purpose for which it is collected.
5. You are required to inform SLGA within 7 days of any changes to the information you supplied that might affect your registration. Changes that you must report include, but are not limited to, change of address, new charges, convictions, findings of guilt, civil or bankruptcy proceedings and/or if you are the subject of a gaming investigation by a regulatory agency, other than as an applicant for registration/licensing purposes.
6. If your renewal application is approved, SLGA will mail you your certificate of registration containing your photo, name and registration number. The tag will be valid for three years, unless an earlier date is indicated. If you lose your tag, you will be charged \$10.50 for a replacement.
7. The fee to register is \$52.50 annually. Your governance body will be billed for future annual fee payments.
8. If you have any questions regarding the registration process or any of the information found in this form, please contact the Manager, Gaming Registrations, Gaming Integrity & Licensing Branch - Saskatchewan Liquor and Gaming Authority at phone: (306) 787-1771, fax: (306) 798-0052 or email: [registration@slga.com](mailto:registration@slga.com).
9. Send your completed form and passport-type photo to:

Manager, Gaming Registrations, Gaming Integrity & Licensing Branch  
Saskatchewan Liquor and Gaming Authority  
P.O. Box 5054, S4P 3M3 (mailing address)  
2500 Victoria Ave, S4P 3X2 (courier address)  
Regina, Saskatchewan

Completed forms can be emailed to [registration@slga.com](mailto:registration@slga.com)

## Part 1 – Personal Information

Legal first, middle and surname:		Name to appear on your tag:
Telephone: (home)	(work/business):	(cell):
Email address:		

## Part 2 – Residential Information

Have you changed residences in the last 3 years?

- No – proceed to next question  
 Yes – provide the information requested below

Mailing address:			
Home street address (if different from mailing address):			
City:	Province:	Country:	Postal code:

## Part 3 – Education and Experience (you may attach a current resume rather than completing this section)

Since your last application, have you made any changes to your education or job-related training?

- No – proceed to next question  
 Yes – provide the information requested below (attach an appendix if necessary)

Name of institution	Name of courses completed or Degree, Diploma, or Certificate obtained	From (Year)	From (To)

**Part 4 – Employment/Business Interests (you may attach a current resume rather than completing this section)**

1. Have there been any changes to your employment since your last application? Include all corporations, partnerships and any other business ventures you are associated with as an officer, director or shareholder. Attach as an appendix if necessary.

- No – proceed to the next question
- Yes – provide the information requested below (attach an appendix if necessary)

Position	Name and address of employer	From (year)	To (year)

2. Since your last application, have you obtained a seat on a Board of Directors for any corporation, business venture, charitable organization, etc.?

- No – proceed to the next question
- Yes – provide the information requested below (attach an appendix if necessary)

Name of business or organization	Date and/or term of appointment

**Part 5 – Gaming History**

1. Since your last application, have you applied to a gaming agency in Saskatchewan or any other province, state or country for a gaming permit, licence, certificate or other similar qualification?

- No – proceed to the next question
- Yes – provide the information requested below (attach an appendix if necessary)

Type of licence	Name and address of jurisdiction	Date of approval	Conditions/stipulations

2. Since your last application, have you had a gaming permit, licence, certificate or similar qualification refused, suspended, revoked or withdrawn?

- No – proceed to the next question
- Yes – provide the information requested below (attach an appendix if necessary)

Type of licence	Name and address of jurisdiction	Date	Reason

3. Since your last application, have you or any of your relatives acquired a financial or ownership interest in any gaming activity or enterprise?

- No – proceed to the next question
- Yes – provide the information requested below (attach an appendix if necessary)

Name	Relationship to you	Type of financial or ownership interest

4. Since your last application, have you or any of your relatives conducted or are currently conducting business or have financial dealings with any of the gaming locations managed by the governance body to which this application relates?

- No – proceed to the next question
- Yes – provide the information requested below (attach an appendix if necessary)

Name	Relationship to you	Position(s) they hold

5. Since your last application, have any of your relatives obtained employment at any of the gaming locations managed by the governance body to which this application relates?

- No – proceed to the next question
- Yes – provide the information requested below (attach an appendix if necessary)

Name	Relationship to you	Location	Position(s) they hold

## Part 6 – Criminal History

The following questions pertain to your criminal history. A criminal history may include criminal investigations, interviews, detentions, arrests and charges that may or may not have resulted in a conviction. Any statements regarding a criminal history will be verified through a criminal record check by SLGA.

Answer 'yes' to the questions if:

- i) you were charged and convicted of a criminal offence;
- ii) you were charged under *The Young Criminal Justice Act* \*;
- iii) the charges were dismissed or subsequently downgraded to a lesser charge;
- iv) the charges were stayed;
- v) you received a conditional discharge;
- vi) you completed an alternative measures or other similar program;
- vii) you were charged but not convicted; or
- viii) the investigation, charges or offence happened in another jurisdiction/province/country.

\* Disclosure of **Young Offender** information is required pursuant to clause 119(1)(o) of *The Youth Criminal Justice Act*.

Answer 'no' to the questions if:

- i) you received a pardon under *The Criminal Records Act* (Canada) or similar legislation, or if any records relating to a charge or conviction have been expunged or otherwise officially sealed by a court or government agency or
- ii) you have never been investigated, arrested, detained, interviewed, charged or convicted of an offence.

Since your last application, have you been investigated, arrested, detained, interviewed, charged or convicted of an offence (such as criminal, drug, gaming, customs, income tax or any offence related to any government assistance program) in any jurisdiction?

- Yes – provide the information requested below       No – proceed to the next question

If 'yes', provide the details (eg. date and place of investigation, detention, arrest, charge or conviction, the description/circumstances of the offence(s), the disposition of sentence and the name of investigating police agency or enforcement body). Attach an appendix if necessary.

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To your knowledge, do you have any charges or warrants outstanding or pending in any jurisdiction?

- Yes – provide the information requested below       No – proceed to the next question

If 'yes', provide the details (eg. date of charge/offence(s), description/circumstances of the offence(s), and the name of investigating police agency or enforcement body). Attach an appendix if necessary.

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## Part 7 – Civil Proceedings

Since your last application, have you been a defendant in a civil suit based in whole or in part on fraud, deceit, misrepresentation, breach or trust or similar conduct?

Yes – provide the information requested below       No – proceed to the next question

If ‘yes’, provide the details (eg. date of lawsuit; a description of the lawsuit, including court file number and the names of other parties named in the lawsuit; the outcome of lawsuit, and the name and address of court). Attach an appendix if necessary.

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## Part 8 – Bankruptcy

Since your last application, have you made an assignment into bankruptcy, been petitioned into bankruptcy or filed a proposal under the *Bankruptcy and Insolvency Act*?

Yes – provide the information requested below       No – proceed to the next question

If ‘yes’, provide the details (eg. the date file, reason for bankruptcy, details of bankruptcy, the date of discharge and the name of the trustee). Attach an appendix if necessary.

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## Part 9 – Disciplinary Action

Since your last application, have you had disciplinary action taken against you as a member of a regulatory body, society or group?

Yes – provide the details below       No – proceed to the next question

If ‘yes’ provide the details including the name of the regulatory body, society or group. Attach an appendix if necessary.

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**Part 10 – Counselling or Treatment**

Since your last application, have you taken some type of counselling or treatment recently that might reflect favourably on your application to renew your registration? Counselling or treatment includes, but is not limited to, drug or alcohol treatment, anger management, marriage or family and/or financial counselling.

- Yes – provide the information requested below       Do not wish to disclose       No – proceed to Part 11

If ‘yes’, provide the type of counselling or treatment and the name and address of the agency the counselor is employed by. Attach an appendix if necessary.

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## Part 11 – Consent to Obtain and Release Information and Declaration of Honesty

The Saskatchewan Liquor and Gaming Authority (SLGA) is required to collect personal information for the purpose of registering prospective and current gaming directors. This information is collected under *The Alcohol and Gaming Regulation Act, 1997*. In order to comply with requirements set forth in *The Alcohol and Gaming Regulation Act, 1997* and *The Gaming Regulations, 2007*, the following consent form allows SLGA representatives to verify or investigate the information provided in this registration application. SLGA is required under *The Freedom of Information and Protection of Privacy Act* to protect the confidentiality of such information in its possession and control, and to use the information only for the purpose for which it is collected. SLGA will retain the personal information on this form only as long as it is necessary to fulfill the purposes for which it was collected and in accordance with approved mandatory retention policies and schedules established with cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*.

### I THE APPLICANT OR REGISTRANT HEREBY:

- (a) consent to the direct and indirect collection from any source and to the use by Saskatchewan Liquor and Gaming Authority (SLGA) of all personal, financial, business, general, or criminal information or documents that SLGA may reasonably require to determine the prerequisites under *The Alcohol and Gaming Regulation Act, 1997* and *The Gaming Regulations, 2007* to grant and maintain a gaming director certificate of registration to the applicant, namely whether the applicant:
  - (i) is of good character; and
  - (ii) has suitable training or experience;
- (b) consent to the release by SLGA of any information authorized to be collected pursuant to clause (a), to any law enforcement agency, other gaming regulatory agency with respect to the conduct of investigations pursuant to the Acts and Regulations the gaming regulatory agency administers and any laws of Canada that are enforced by its officers or employees;
- (c) consent to the release to SLGA by all persons, including but not limited to all federal, provincial, or municipal licensing bodies and departments, police services, law enforcement agencies, the registrar in bankruptcy, Canada Revenue Agency, credit bureaus, financial institutions, professional and industry associations, former and current employers, of all personal, financial, business, general or criminal information or documents that SLGA reasonably determines it requires respecting this application;
- (d) release all persons referred to in paragraph (c) including their officers, agents and employees, from all liability respecting the release of information to SLGA pursuant to paragraph (c);
- (e) acknowledge and understand that a photocopy of this document will have the same force and effect as the original;
- (f) understand that the consent is in effect for as long as I hold a certificate of registration and for any registration renewals;
- (g) certify that the information provided in this application is accurate, correct and true. I understand that if any of the information provided in this application is not accurate, correct and true, SLGA may deny my application or may subsequently revoke my gaming registration. I further understand that if any of the information provided in this application is fraudulent, I may be subject to prosecution under the *Criminal Code of Canada*.

I have read and understand the above statement.

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First and last name (please print)

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Date

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Signature (Digital signatures not accepted)