

Who Must Complete This Application?

This application must be completed by all individuals who are employed by a registered supplier and who have access to the gaming floor, technical services area or other secure areas of a casino to which the general public does not have access. These employees include, but are not limited to, field or technical staff who perform on-site installation, technical support and upgrades and employees who provide on-site training to registered casino employees in the installation or maintenance of gaming equipment.

General Information

1. Print or type your responses. You must answer every question in this application. Incomplete applications will be returned.
2. A passport-type photo is to be attached to this application. You can also email a photo of yourself (jpg format only) to registration@slga.com. The photo should be head and shoulders only.
3. Give this completed form to your employer. They are responsible for submitting the application to SLGA. Do not send this form directly to SLGA.
4. If SLGA identifies areas of concern in the review of your application, it will initiate an interview or investigation to establish your suitability to be registered as a supplier gaming employee. Through the interview or investigation process, SLGA will gather any information it considers necessary and may request additional information from you, including character references, employment history, etc. By signing the attached consent, you are agreeing that SLGA may collect and use this information.
5. Any personal information that you provide to SLGA is confidential. SLGA is required under *The Freedom of Information and Protection of Privacy Act*, to protect the confidentiality of personal information in its possession and control, and to use the information only for the purposes for which it was collected.
6. You are required to inform SLGA within 7 days of any changes to the information you supplied or that might affect your registration. Changes that you must report include, but are not limited to, change of address, new charges, convictions, findings of guilt, and/or you are the subject of a gaming investigation by a regulatory agency, other than as an applicant for registration/licensing purposes.
7. If approved, SLGA will mail you an identification tag that will contain your photo, name and the name of the registered supplier. The identification tag will be valid for three years, unless an earlier date is indicated. The identification tag must be carried on your person when entering any Saskatchewan gaming locations.
8. The fee to register is \$52.50 annually. You or your employer will be billed for future annual fee payments. Failure to remit the annual fee may result in cancellation of the registration.
9. If you have questions regarding the registration requirements or general information found in this form, please contact the Gaming Integrity & Licensing Branch - Saskatchewan Liquor and Gaming Authority at phone: (306) 787-1771, fax: (306) 798-0052 or by email registration@slga.com.

Qualifying For Registration

To qualify for and retain a registration as a gaming supplier employee, an applicant must be of good character and have suitable training and experience. SLGA determines if an applicant is of good character by reviewing aspects of his/her personal, financial and criminal history. To determine if an applicant has suitable training and experience, SLGA relies on the applicant's prospective employer to ensure that suitable training necessary to perform the job has been or will be provided. SLGA may also request additional information or, if necessary, interview the applicant, in order to verify that the qualification requirements are being met. SLGA may verify a person's qualifications at any time.

Suitability Factors

SLGA considers a number of factors in order to determine an applicant's suitability to be a gaming supplier employee. The main factors that SLGA considers are the existence of a criminal history, disclosed relevant information, failing to disclose relevant information, outstanding charges or warrants, and civil and bankruptcy claims. In all cases, SLGA will consider these factors in conjunction with the nature of the job they applied for.

Criminal History

The assessment of an applicant's criminal history is one of a number of critical factors in determining suitability. A criminal history is not the same as a criminal record. A criminal history includes criminal investigations, interviews, detentions, arrests as well as charges that may or may not have resulted in a conviction. Even if a person was found not guilty or if charges were dismissed or stayed, the charges still form part of an individual's criminal history. A criminal history also includes charges that were dealt with through an Alternative Measures Program or resulted in a suspended sentence, conditional or absolute discharge. A criminal history by itself does not disqualify someone from being registered. If an applicant has a criminal history, SLGA will consider the nature of the charge(s) or conviction(s), the circumstances of the offences, sentences imposed by the court, length of time since the last offence and evidence of rehabilitation or treatment.

SLGA may not process an application if the applicant has an outstanding warrant or charge that could potentially result in a denial of a registration. Warrants or charges may need to be cleared (fully resolved through the courts) before SLGA will make a determination of suitability. SLGA may also initiate an investigation or personal interview to determine suitability following the resolution in court.

All gaming supplier employee applicants who are not citizens or naturalized citizens of Canada and who reside outside of Canada must provide a *Criminal Record Check* from their local police agency. SLGA will accept any form prescribed and completed by the police agency or they can use the SLGA form titled Consent to a Criminal Record Check and submit that form to the police agency for completion. A copy of the SLGA form is attached to the back of this form. Fingerprint submissions are not required.

Failure to Disclose

Failing to disclose reflects negatively on a person's character, as it brings into question his/her honesty. SLGA may deny or cancel a registration if a person fails to disclose information relevant to the application or registration.

SLGA will conduct reviews of all registered gaming supplier employees as required. The review may include a new criminal record check. Therefore, it is important for gaming supplier employees to disclose any changes to their initial application that may impact their registration as soon as the changes occur. Although the circumstances of a new charge may not affect a gaming supplier employee's suitability, failure to disclose the information would be considered a breach of the terms and conditions and the gaming supplier employee could be subject to disciplinary action including, but not limited to, suspension or cancellation of the registration.

Civil and Bankruptcy Claims

SLGA must determine if the reason for the civil claim or bankruptcy may put the employer's assets and the integrity of gaming at risk.

Other Considerations

SLGA will also consider if an applicant or employee has displayed conduct that suggests a lack of good character; has provided false or misleading information; has a history of problem gambling, alcohol or drug abuse; has recently been denied a similar license in another jurisdiction; or has been found to be under the influence of alcohol or an illicit drug while working.

Part 1 – Personal Information

Legal first, middle and surname:		Name to appear on your tag:				
List any other names you have used (maiden name, birth name, etc.):			Position:			
Mailing address:						
Home street address (if different from mailing address):						
City:	Province/State:	Country:	Zip/Postal code:			
Home phone:		Work/business phone:	Cell phone:			
Email address:						
Gender: <input type="checkbox"/> Female <input type="checkbox"/> Male	Date of birth: YY MM DD		Height:	Weight:	Eye colour:	Hair colour:
Place of birth (City, Province/State, Country) & citizenship:						

Part 2 – Employer/Supplier Information

Name of the registered supplier to whom you are contracted or employed:			
Supplier contact name:		Supplier contact phone:	
Mailing address of the supplier:			
City:	Province/State:	Country:	Zip/Postal code:

Part 3 – Gaming History

1. Have you ever had a gaming permit, licence, certificate or similar qualification refused, suspended, revoked or withdrawn in any jurisdiction?
- No – proceed to the next question
 Yes – provide the information requested below (attach an appendix if necessary)

Type of licence	Name and address of jurisdiction	Date	Reason

Part 4 – Criminal History

You must disclose all criminal investigations, arrests, charges and convictions regardless of the outcome. Even if you were found not guilty or if charges were dropped, you must report all contact you've had with any enforcement agency.

Have you ever been investigated, arrested, detained, interviewed, charged or convicted of an offence (such as criminal, drug, gaming, customs, income tax or any offence related to any government assistance program) in any jurisdiction?

- Yes – provide the information requested below No – proceed to the next question

If 'yes', provide the details (eg. date and place of investigation, detention, arrest, charge or conviction, the description/ circumstances of the offence(s), the disposition of sentence and the name of investigating police agency or enforcement body). Attach an appendix if necessary.

To your knowledge, do you currently have any charges outstanding in any jurisdiction?

- Yes – provide the information requested below No – Proceed to the next question

If 'yes', provide the details (eg. date of charge/offence(s), description/circumstances of the offence(s), and the name of investigating police agency or enforcement body). Attach an appendix if necessary.

Part 5 – Bankruptcy

Have you ever made an assignment into bankruptcy, been petitioned into bankruptcy or filed a proposal under the *Bankruptcy and Insolvency Act*?

- Yes – provide the information requested below No – Proceed to Part 6

If 'yes', provide the details (eg. the date file, reason for bankruptcy, details of bankruptcy, the date of discharge and the name of the trustee). Attach an appendix if necessary.

Part 6 – Consent to Obtain and Release Information and Declaration of Honesty

The Saskatchewan Liquor and Gaming Authority (SLGA) is required to collect personal information for the purpose of registering prospective and current gaming employees. This information is collected under *The Alcohol and Gaming Regulation Act, 1997*. In order to comply with requirements set forth in *The Alcohol and Gaming Regulation Act, 1997*, the following consent form allows SLGA representatives to verify or investigate the information provided in this registration application. SLGA is required under *The Freedom of Information and Protection of Privacy Act* to protect the confidentiality of such information in its possession and control, and to use the information only for the purpose for which it is collected. SLGA will retain the personal information on this form only as long as it is necessary to fulfill the purposes for which it was collected and in accordance with approved mandatory retention policies and schedules established with cooperation of the Saskatchewan Archives Board under *The Saskatchewan Archives Act*.

I, THE APPLICANT OR REGISTRANT, HEREBY:

- (a) consent to the direct and indirect collection from any source and to the use by Saskatchewan Liquor and Gaming Authority (SLGA) of all personal, financial, business, general, or criminal information or documents that SLGA may reasonably require to determine the prerequisites under *The Alcohol and Gaming Regulation Act, 1997* to grant and maintain a gaming employee certificate of registration to the applicant, namely whether the applicant:
 - (i) is of good character; and
 - (ii) has suitable training or experience;
- (b) consent to the release by SLGA of any information authorized to be collected pursuant to clause (a), to any law enforcement agency, other gaming regulatory agency with respect to the conduct of investigations pursuant to the Acts and Regulations the gaming regulatory agency administers and any laws of Canada that are enforced by its officers or employees;
- (c) consent to the release to SLGA by all persons, including but not limited to all federal, provincial, or municipal licensing bodies and departments, police services, law enforcement agencies, the registrar in bankruptcy, Canada Revenue Agency, credit bureaus, financial institutions, professional and industry associations, former and current employers, of all personal, financial, business, general or criminal information or documents that SLGA reasonably determines it requires respecting this application;
- (d) release all persons referred to in paragraph (c) including their officers, agents and employees, from all liability respecting the release of information to SLGA pursuant to paragraph (c);
- (e) acknowledge and understand that a photocopy of this document will have the same force and effect as the original;
- (f) understand that the consent is in effect for as long as I hold a certificate of registration and for any registration renewals;
- (g) certify that the information provided in this application is accurate, correct and true. I understand that if any of the information provided in this application is not accurate, correct and true, SLGA may deny my application or may subsequently revoke my gaming registration. I further understand that if any of the information provided in this application is fraudulent, I may be subject to prosecution under the *Criminal Code of Canada*.

I have read and understand the above statement.

First and last name (please print)

Date

Signature (digital/electronic signatures not accepted)

Complete only if you reside outside of Canada

Legal First, Middle and Surname:			
Other names you have used (eg. Maiden name):			
Home address:			
City:	Province/State:	Country:	Zip/Postal Code:
Sex: <input type="checkbox"/> Female <input type="checkbox"/> Male	Date of Birth: YY MM DD	Place of Birth (City, Province, State, Country):	Driver's License No:

1. Have you ever been investigated, arrested, detained, interviewed, charged or convicted of an offence (such as criminal, drug, gaming, customs, income tax or any offence related to any government assistance program) in any jurisdiction?

Yes No

2. To your knowledge, do you have any charges pending in any jurisdiction? Yes No

If you answered "yes" to either of the above questions, please provide the details below (*criminal record statements will be verified*):

Date (mm/yy)	Offence/incident	Disposition/sentence	Investigating police dept.

DECLARATION – All of the information provided on this form is true and complete to the best of my knowledge. I understand that the Saskatchewan Liquor and Gaming Authority (SLGA) collects the information for the purpose of determining my suitability as a key person. I CONSENT to the release to SLGA of any information as may be necessary to verify the information contained on this form. My signature authorizes the Royal Canadian Mountain Police (RCMP), through the CPIC system; or other law enforcement agencies, to release records of criminal charges or convictions for which a pardon has not been granted, records of discharges which have not been removed from the CPIC system in accordance with *The Criminal Records Act*, and records of outstanding criminal charges to SLGA. I release all persons referred to in this paragraph including their officers, agents and employees, from all liability respecting the release of information to SLGA. I further authorize SLGA to obtain a criminal record check during the time of application, the period of the registration granted pursuant to this application and any renewals.

Signature of applicant:	Date:
-------------------------	-------

RESULTS OF CRIMINAL RECORD CHECK BY LAW ENFORCEMENT AGENCY

- No criminal record or outstanding charges.
- A possible criminal record not disclosed by the applicant.
- A criminal record consistent with what was disclosed by the applicant.
- Outstanding charge(s) and/or warrant(s) consistent with what was disclosed by the applicant.

Signature/Rank of Officer

Law Enforcement Agency

Date

Copy of Department / Official Stamp

Terms and Conditions

The terms and conditions herein are established by the Saskatchewan Liquor and Gaming Authority (SLGA) pursuant to *The Alcohol and Gaming Regulation Act, 1997* and *The Gaming Regulations, 2007*.

1. DEFINITIONS

- (a) “**Act**” means *The Alcohol and Gaming Regulation Act, 1997*.
- (b) “**Applicant**” means, for the purpose of these terms and conditions, a person who has applied for a certificate of registration as a gaming employee or a renewal of a certificate of registration as a gaming employee.
- (c) “**Certificate of Registration**” means a certificate of registration granted pursuant to section 146 of *The Alcohol and Gaming Regulation Act, 1997*.
- (d) “**Investigation**” means an inquiry or review conducted by SLGA to determine the eligibility of an applicant to be registered or of a gaming employee to continue to be registered; and includes but is not limited to, an inquiry or review relating to the honesty and integrity and financial history of an applicant or gaming director.
- (e) “**Regulations**” means *The Gaming Regulations, 2007*.
- (f) “**Relatives**” include both immediate and extended family such as spouse (including common law), brother, sister, parent, child, grandparent, grandchild, great grandparents, son-in-law, daughter-in-law, mother-in-law, father-in-law, aunt, uncle, niece, nephew, first cousin, brother-in-law, sister-in-law, spouse’s grandparents, family relationships resulting from First Nations’ customary adoptions, and person in the household for long periods of time and who the gaming employee supports.
- (g) “**SLGA**” means the Saskatchewan Liquor and Gaming Authority.

2. NOTICE

- (a) An applicant or gaming employee must provide SLGA with any information it considers necessary to determine whether a certificate of registration should be granted or denied. This includes giving SLGA access to any records it considers necessary, such as the applicant or gaming employee’s personal, business, financial information and criminal record information.
- (b) An applicant or gaming employee is responsible for complying with and understanding the provisions of *The Alcohol and Gaming Regulation Act, 2007*, *The Gaming Regulations, 2007* and the terms and conditions.
- (c) An applicant or gaming employee must comply with applicable legislation, lawful policies, orders and directions of the province and its agents and conditions set out in policy established by SLGA.
- (d) An applicant or gaming employee who operates in breach of any term or condition herein may be subject to an immediate suspension or cancellation of the certificate of registration to which the breach relates.
- (e) Subsection 146(8) of *The Alcohol and Gaming Regulation Act, 1997* authorizes SLGA to amend, vary, repeal, substitute or add any terms and conditions when a certificate of registration is issued, renewed or at anytime during the period of registration.

3. GENERAL CONDITIONS

- (a) An applicant or gaming employee must complete any forms SLGA considers necessary.
- (b) The information provided to SLGA must be accurate and complete.

- (c) An applicant or gaming employee must provide SLGA with permission to obtain any information from any person or any source it considers necessary to determine whether they are of good character. SLGA may request this information at any time during a period of registration.
- (d) An applicant or gaming employee must provide SLGA with any information it considers necessary to determine whether they are suitably trained or qualified for their position. SLGA may request this information at any time during a period of registration.
- (e) A gaming employee must provide SLGA with verification of their criminal history within 7 days of a request.
- (f) A certificate of registration is valid:
 - i) for three years unless an earlier date is indicated;
 - ii) if the registration fee is paid on annual basis no later than the deadline provided by SLGA; and
 - iii) as long as the gaming employee remains employed or holds a position at the gaming location to which they are registered. If a gaming employee's employment or position term ends prior to the expiry of their registration, their registration immediately becomes null and void.
- (g) A gaming employee may only possess one SLGA-issued certificate of registration at a time.
- (h) A gaming employee may only work in the category or categories specified on their certificate of registration.
- (i) A gaming employee must notify SLGA if they change positions in the same category for which they are registered.
- (j) A gaming employee must obtain prior approval from SLGA before transferring to a different category or position that also requires registration.
- (k) A gaming employee must continue to be of good character and maintain their suitability during their period of registration.
- (l) A gaming employee must wear their certificate of registration and ensure the certificate can be viewed by the public at all times.
- (m) The minimum age to work in a casino is 19 years of age.
 - i) the minimum age to work in the gaming area of a casino or in any area in which casino gaming can be seen is 19 years of age.
- (n) The minimum age to work in a bingo hall is 16 years of age.
 - i) the minimum age to work in non-gaming area of a casino is 16 years of age, subject to section 3 (m)(i) and the approval of SLGA.
- (o) An applicant or gaming employee must disclose to their employer and SLGA the names of all relatives who work at the same gaming location. This includes relationships entered into during a period of registration.
- (p) A gaming employee must not, by their actions, cause their employer to violate any SLGA terms and conditions or standards by which the employer is licensed or registered.
- (q) A gaming employee must comply with applicable legislation, lawful policies, orders and directions of the province and its agents and conditions set out in policy established by SLGA for the gaming employee.
- (r) SLGA may conduct any background check, interview or investigation that it considers necessary or appropriate.
- (s) If an applicant or gaming employee has misled SLGA, failed to provide information or provided inaccurate information, SLGA may take disciplinary action or impose sanctions including, but not limited to, the denial, suspension or cancellation of a registration.

- (t) Annual fees are non-refundable.

4. CONFLICT OF INTEREST

- (a) A conflict of interest is any situation in which a gaming employee, either for himself or herself or another person(s), promotes or attempts to promote a private or personal interest which results or appears to result in the following:
 - (i) a conflict or interference with the exercise of his/her duties; or
 - (ii) a gain or advantage by his/her position.
- (b) A gaming employee must, at all times, seek to eliminate actual or perceived conflicts of interest while working with relatives in the same gaming area or at the same gaming location.

5. NOTIFICATION OF CHANGES

- (a) A gaming employee must notify SLGA within 7 days of any matter, action or conduct that may be of importance to SLGA, including but not limited to:
 - i) behaviour which relates to the gaming employee's honesty and integrity,
 - ii) disciplinary action by the employer which relates to gaming employee's honesty and integrity,
 - iii) instances of non-compliance with the employer's policies which call into question the gaming employee's honesty and integrity;
 - iv) being investigated in connection with, or detained, arrested, charged or convicted of any criminal, drug, gaming, customs, income tax offence, or any offence related to a government financial assistance program;
 - v) being sued in a civil proceeding;
 - vi) being the subject of a bankruptcy proceeding;
 - vii) being the subject of a gaming investigation by a regulatory agency, other than as an applicant;
 - viii) a change of address; or
 - ix) a change of name.